

National Financial Services, Inc.

Triparty through Maplewood Investments, Inc.

Fully Disclosed Rates

Customer Trades	Clearing Transaction (customer charge)	Execution by NFS*
Listed Equities (including Closed End Mutual Funds-IPO's only)		
Master Ltd. Partnership (on exchange)		
Auto Market Orders up to 9,999 shares	\$17.50	\$1.50/trade
All Other Market Orders	\$17.50	\$0.15/share
All Other Orders	\$17.50	\$0.15/share
OTC Equities	\$17.50	
(Listed and OTC Equity orders forced routed away will be charged \$2.00 routing fees (inclusive of third market orders))		
Options		
Contracts less than \$1	\$17.50	\$0.70/contract
Contracts greater than or equal to \$1	\$17.50	\$1.50/contract
Corporate Bonds		
Listed Corporates	\$22.00	\$1.50/bond
OTC Corporates	\$30.00	
CMO's	\$30.00	
Municipal Bonds	\$30.00	
Zero Coupon Bonds	\$30.00	
Government Securities	\$30.00	
Mortgage Backed Securities	\$35.00	
UIT's	\$35.00	
Money Market Instruments	\$30.00	
(CD's, BA's, Commercial Paper)		
Other		
Precious Metals	\$45.00	
International**		
Eurobond Clearance	\$50.00	
All Other Foreign Securities	\$75.00	
Post/Service Fee (all trades)	\$6.00	

* In the event NFS is charged execution or other handling fees from and execution provider. NFS may revise the execution fees and impose certain handling fees with prior notice to correspondent.

** The above surcharge are in addition to the clearing charges noted above. ADR's and Canadian Securities are exempt from the surcharge.

Mutual Funds (including Fidelity Advisor Funds)	Clearing Transaction
Load Funds	
Buys	\$17.00
Sells	\$17.00
Exchanges	\$8.50 each
PIP's / SWP's	\$3.00
No-Load Funds	
Buys	\$17.00
Sells	\$17.00
Exchanges	\$8.50 each
PIP's / SWP's	\$3.00
Concessions	Correspondent (Davinci) retains the full dealer concession. Dealer concessions are subject to change by the fund distributors)
Special Programs	<ol style="list-style-type: none"> <li data-bbox="443 632 1495 737">1. No Transaction Fee (NTF) Program. NFS currently has arranged for all no-load funds as referenced in the INSTITUTIONAL FUNDS NETWORK® listed to be free of clearing and execution charges. <li data-bbox="443 743 1495 953">2. Fidelity Retail Funds: NFS has arranged for trades executed in Fidelity Investment Retail funds to be free of clearing and execution charges. However, in the event that these funds are no longer offered to NFS free of clearing and execution charges, NFS will modify Exhibit A (fully disclosed clearing charges) with prior notice to the Correspondent (Davinci) to reflect a clearing and execution charge for them.
<i>Note: The list of participating fund families may be subject to the change by the fund distributors.</i>	

Customer Account Charges	Customer Charge
TOA Deliveries (ACAT Exit Fee)	\$75.00 each
Legal Transfers (charge when deposited stock certificate requires legal documentation)	\$30.00 per issue
Transfer and Ship (charge for sending physical certificate to client)	\$125.00 each
Trade and Margin Extensions	\$20.00 per extension
Mailgrams	\$10.00 per mailgram
Physical Reorganizations	\$50.00 per event
Legal Returns	\$55.00 each
Bounced Check Fee	\$25.00 each
Stop Payments	\$25.00 each
Annual Custody Fee ¹	\$40.00 each account
NFS Brokerage Access Asset Management Account with Visa Classic Debit Card	\$60.00 per year
NFS Brokerage Access Asset Management Account with Visa Gold Debit Card	\$100.00 per year
NFS Brokerage Access Asset Management Account with Visa Platinum Debit Card	\$0.00 per year
NFS IRA, Rollover IRA, Roth IRA, BDA IRA, SEP IRA, SIMPLE IRA Prototypes (“Premiere Select IRA”)	
Maintenance	\$35.00 per account per year ²
Liquidation	\$75.00 per account ²
NFS Keogh Prototypes (“Premiere Select IRA”)	
Maintenance	\$35.00 per account per year ²
Liquidation	\$75.00 per account ²
Wire Transfer Fee	\$15.00 per wire
Foreign Securities Transfer	Pass through fees
Overnight Fee	\$25.00

¹ The Annual Custody Fee is assessed on each account with holds a position, and for which there has been no trading activity during the prior calendar year. Transactions such as Mutual Fund PIPs/SWPs, Exchanges, NTFs as well as equity divided reinvestments and margin interest are considered trading activity. This fee will be charged in January following the calendar year of no activity. The parameters for the annual custody fee are subject to review by National Financial.

² As defined by:

- All open accounts including accounts with zero balance.
- All closed accounts as long as such accounts have a balance greater than zero.